Box 557, 100 King Street West Hamilton, Ontario L8N 3K9

We are with you...mile after mile!™

### DRIVER QUALIFICATION PACKET

The attached documents are provided to you to illustrate compliance with the USA laws that came into effect October 29, 2004 in the Federal Motor Carrier Safety Regulations (FMCSR). They are distributed to you as a sample, for illustration purposes only.

This packet is not meant as an all-inclusive list of required items; consult Federal Motor Carrier Safety Regulations (FMCSRs) Parts 40, 382 and 391.

- DRIVER HIRING & QUALIFICATION RECORDS CHECKLIST: (Revised 6/11) Form is
  used to document driver file requirements per FMCSR 391 and renewal dates for these items.
- APPLICATION FOR QUALIFICATION: (Revised 2011/06) Required by FMCSR 391.21.
  This application is suitable for independent owner/operators or company employees/drivers.
  NOW includes Driver's Rights under 391.23.
- REQUEST FOR DRIVER'S SAFETY PERFORMANCE HISTORY: (NEW 9/04) As required by FMCSR 391.21 for past employment investigation.
- FOURTEEN-DAY PRIOR LOG FORM: FMCSR 395.3. requirement is for previous 7 days, however, best practice in Canada is for previous 14 days
- DRIVER PERFORMANCE EVALUATION {Road Test} (Revised 9/04) Should be used to summarize the evaluator's thoughts on driver performance, including skills performed particularly well, those needing improvement and why. Includes:
- CERTIFICATION OF ROAD TEST As required per FMCSR Subpart D 391.31.
- VIOLATION AND ANNUAL REVIEW RECORD: Allows review of driver's record as required by FMCSR 391.25 and 391.27.
- DRIVER "INVESTIGATION HISTORY" FILE CHECKLIST (NEW 9/04) FMCSR requires this form to be filed in a secure location, with limited access.

The following documents should be placed in Personnel and/or Confidential File.

- DRIVER APPLICANT AND ALCOHOL PRE-EMPLOYMENT STATEMENT: (NEW)
   Form used to document an applicant applying for a safety sensitive function, as required per CFR 40.25(j).
- CONTROLLED SUBSTANCE & ALCOHOL TESTING INFORMATION ACKNOWLEGEMENT/ CONSENT FORM: (NEW 9/04) As required by FMCSR 382.301. [Page 1, drug & alcohol information, needs to be kept in Confidential File.]

### DRIVER HIRING & QUALIFICATION RECORDS CHECKLIST

DR	IVER'S NAME:	
DA	TE OF HIRE:	
		1
1.	Application for Qualification should be fully completed and signed by applicant – No gaps in employment history.  a. Driver's Rights (to be given to the applicant prior to driver application)  b. Driver Applicant Drug and Alcohol Pre-employment Statement  c. Controlled Substance and Alcohol Testing Information Acknowledgement/Consent form  d. Request for Driver's Safety Performance History	
2.	Motor Vehicle Record (MVR)  Province: Date obtained:  (All licenses held by the driver in the last 3 years must be investigated.)	
3.	Driver Performance Evaluation [Road Test] (Fully completed & signed by Examiner.)	
4.	Receipt For Issuance of FMCSR Book	
5.	Receipt for Driver's Manual/Policies	
6.	Certificate for Completion of Orientation (if applicable)	
7.	Fourteen-Day Prior Hours Statement or Copies of Log Sheets (To be placed with log files.)	
8.	Copy Of Driver's License  a) Expiration date: b) Class: c) Endorsements:	
9.	Annual Driver's Certification of Violations & Annual Review of Driving Record (MVR) (Must be completed at least once every 12 months from the date of hire.)	
10.	Other documents:	
11.	Notify your Old Republic Insurance Broker prior to making the hiring decision (for all clients less than 10)	

## DRIVER'S RIGHTS PERTAINING TO RELEASE OF DRIVER INFORMATION UNDER REGULATION 391.23

Motor carriers have the responsibility to make the following investigations and inquiries with respect to each driver employed, other than a person who has been a regularly employed driver of the motor carrier for a continuous period, which began before January 1, 1971.

- a) (1) An inquiry into the driver's driving record during the preceding three years to the appropriate agency of every State in which the driver held a motor vehicle operator's license or permit during those three years; and (2) An investigation of the driver's employment record during the preceding three years.
- b) A copy of the driver record(s) obtained in response to the inquiry or inquiries to each State driver record agency, as required. This must be placed in the Driver Qualification File within 30 days of the date the driver's employment begins and be retained in compliance with 391.51.
- c) Replies to the investigations of the driver's safety performance history must be placed in the Driver Investigation History File within 30 days of the date the driver's employment begins. This is effective as of October 30, 2004.
- d) Prospective motor carrier must investigate the information from all previous employers of the applicant that employed the driver to operate a CMV within the previous three years. This information must cover general driver identification and employment verification information, data elements as specified in 390.15 for any accidents involving the driver that occurred in the three-year period preceding the date of the employment application, and any accidents the previous employer may wish to provide.
- e) Prospective motor carrier must investigate the information from all previous DOT regulated employers that employed the driver within the previous three years from the date of the employment application in a safety-sensitive function that required alcohol and controlled substance testing specified by 49 CFR Part 40.

#### Drivers have the following rights:

- 1. The right to review information provided by previous employers;
- 2. The right to have errors in the information corrected by the previous employer and for that previous employer to re-send the corrected information to the prospective employer; and
- 3. The right to have a rebuttal statement attached to the alleged erroneous information, if the previous employer and the driver cannot agree on the accuracy of the information.

Drivers who wish to review previous employer-provided investigative information must submit a written request to the prospective employer when applying or as late as 30 days after employed or being notified of denial of employment. The prospective employer must provide this information to the applicant within five business days of receiving the written request. If the driver has not arranged to pick up or receive the requested records within 30 days of the prospective employer making them available, the prospective motor carrier may consider the driver to have waived his/her request to review the records.

Drivers wishing to request correction of erroneous information in records must send the request for the correction to the previous employer that provided the records. After October 29, 2004, the previous employer must either correct and forward the information to the prospective motor carrier employer or notify the driver within 15 days of receiving the driver's request to correct the data that it does not agree to correct the data. Drivers wishing to rebut information in records must send the rebuttal to the previous employer with instruction to include the rebuttal in the driver's Safety Performance History.

I acknowledge that I have read and understand the contents of this document.

Driver's Signature:	Dat	e:
Driver's Name (Printed):		



### APPLICATION FOR QUALIFICATION

Company Name: HEMO LOGISTICS INC Address: 2045 NORTH TALBOT ROAD

City: WINDSOR

The purpose of this application is to equipment according to the requiren	determine wheth	er or not the applicant is q	ualified to operate motor carrier
above.	icitis of the feat	idi (rioto: Catrier Dailory	-5
Instructions: 1. Please print clearly.			
or "None."		question is "No" or "None,"	do not leave the item blank, but write "No"
SECTION A - APPLICANT INFORMATIO	N		
Name (First, Middle, Last)		Date of birth (DD/MM/YYYY)	Telephone number
			( )
Position applying for (check one)			Alternative Telephone number
□ Driver □ Contractor □ Contractor's Driver □	Other	(please specify)	1 ( )
Residence history for the past three years, beginning	with your current a	iddress.	From (DD/MM/YYYY)
Current Address (no., street)			Prom (DE)/MM/ 1 1 1 1
City	Province	Postal code	To (DD/MM/YYYY)
Address (no., street)			From (DD/MM/YYYY)
City	Province	Postal code	To (DD/MM/YYYY)
Address (no., street)			From (DD/MM/YYYY):
City	Province	Postal code	To (DD/MM/YYYY):
Address (no., street)		· · · · · · · · · · · · · · · · · · ·	From (DD/MM/YYYY):
City	Province	Postal code	To (DD/MM/YYYY):
Have you worked for this company before?	□ No		
If yes, when? FromTo:			
If yes, when: Ploin			
Reason for leaving?			
Please circle the highest grade level completed			
Grade school 1 2 3 4 5 6 7 8 9 10 11	12	College/University: 1 2 3	4 Post-graduate: 1 2 3 4
SECTION B - EMPLOYMENT HISTOR	Y	-	
Please provide a complete record of all employment (st employment Please also provide all commercial drivin	arting with the curren	at or most recent) for the past three	e years, including any unemployment or self-
Company name	Position held		Telephone number
Address (no., street)	1		From (DD/MM/YYYY)
City	Province	Postal code	To (DD/MM/YYYY)
Reason for leaving?			
Were you subject to the FMCSRs* while employed her	e?	Was your job designated mode subject to the drue	as a safety-sensitive function in any DOT-regulated and alcohol testing requirements of 49 CFR Part 40?
□Yes □ No		□Yes □ No	

Province: ON

Postal Code: N9P6J3

Company name	Position held				Telephone number		
					(		
Address (no., street)					From (DD/MM/YYYY):		
City	Province	Post	tal code		To (DD/MM/YYYY):		
Reason for leaving?							
Were you subject to the FMCSRs* while employed her	e?		Was your job d	esignated as	a safety-sensitive function in any DOT-regulated d alcohol testing requirements of 49 CFR Part 40?		
□Yes □ No	Yes □ No						
Company name					Telephone number		
Confianty mane					( )		
Address (no., street)					From (DD/MM/YYYY)		
City	Province	Pos	tal code		To (DD/MM/YYYY)		
Reason for leaving?	_l						
Were you subject to the FMCSRs* while employed he	re"		Was your Job d	lesignated as	a safety-sensitive function in any DOT-regulated		
□Yes □ No					ad alcohol testing requirements of 49 CFR Part 40?		
	Position held		□Yes □1	<u> </u>	Telephone number		
Сотрапу пате	r osition neid				( )		
Address (no., street)					From (DD/MM/YYYY):		
City	Province	Pos	stal code	stal code To (DD/MM/YYYY):			
Reason for leaving?					_		
Were you subject to the FMCSRs* while employed he	re?		Was your job	designated a	s a safety-sensitive function in any DOT-regulated and alcohol testing requirements of 49 CFR Part 40?		
□Yes □ No							
*The Federal Motor Carrier Safety Regulations (FA)	(CSRs) apply to any	one wh	a angentus a mat	or vehicle o	n a highway in interstate commerce to transport		
*The Federal Motor Carrier Sajety Regulations (FM passengers or property when the vehicle: (1) has a G is of any size, used to transport hazardous materials	VWK or weighs 10.0	JUL POI	unas or more; (4)	) is designed	or used to transport nine or more passengers; or (3)		
SECTION C – DRIVING HISTORY/EXP	ERIENCE						
	From	D	atesTo		Approximate Miles		
Driving Experience Straight Truck	11014						
Tractor-trailer							
LCV's							
Other:(specify)							
List provinces and states operated in for the last five y	ears		<u> </u>				
		.)					
List special courses/training completed (PTD/DDC, I		<u> </u>					
List any Safe Driving Awards you hold and from who	om						
			-				

Collision record for the pa	st three years (attach an	additional	sheet, if require	d)					The hard
Date of collision (DD/MM/YYYY)	Nature of Collision			Location			Number fatalitie		Number of injured people
<u> </u>									
<u> </u>									
					<u></u>				-
Traffic convictions and fo Date (DD/MM/YYYY)	rfeitures for the past thre Location	ee years (ot	her than parkin	g violations) Offence	-		Penalty	200	<u></u>
Date (DD/MN//TTTT)	Location			Official					
	<u> </u>								
	l dei et de la	elea mane ele			*	_			
Driver's License (List eac Province	License number	ine pasi in	Type		Endorseme	ents		Expiratio	n date
							_		
									<del>-</del>
								_	<u> </u>
Have you ever been denied vehicle?	a license, permit or privile	ege to opera	ite a motor	Has any license	e, permit or p	orivilege ever	been sus	spended or	revoked?
□Yes □ No				□Yes □ N					
If yes, please provide detai	s.			f yes, please pr	ovide details	i,			
Personal references – Lis	three persons for refere	nces, other	than family me	mbers, who have	knowledge	of your safe	ty habits	5.	
Name		Address				Telephone	number		
				·					
								_	
				<u> </u>	_				
To Be Read and Signed b	y Applicant	_!							
This certifies that I comple and understood that any m It is agreed and understoo applicant's record, whethe	isrepresentation given on a d that the motor carrier of r same is of record or not,	this applica r his avents	tion or interview( may investigate t	(s) shall be consic he applicant's ba	lered an act ckground to	of dishonesty ascertain an	r and may y and all	resuit in e informatio	a aiscnarge. In of concern to
his furnishing such informal agree to furnish such ad	ditional information and	complete su	ch examinations	as may be requi	red to comp	lete my appli	cation fil	le.	
le is appead and underston	d that this Application for	r Oualificat	ion in no way ob	ligates the motor	carrier to e	mploy or hire	e the app	licant.	aroues é
It is agreed and understood i understand that from time	a to tima tha company will	furnish my	nersonal informa	tion as necessary	· to third par	ties such as t	nsurance	r compante	s ana prokers. The
commony operace to collect	use and disclose such infe	renation on	dy in a manner th	at a reasonable n	erson would	' consider api	propriate	' in ine circ	cumstances, and that
would be in compliance wi of such information in a m	in the Protection of Perso. anner appropriate to the s	nat informa ensitivity of	uon ana Electron Such information	กะ ฌอะนทะกเร ละ ı, and in complia	ice with PIP	EDA.	jainer	agreed to a	
I agree to supply the follow									
o Driver's MVR	Abstract (current to the pa	st 30 days)							
o Driver's CVOF o Criminal Recor	l Abstract (Ontario only, c d Search (current to the pa	urrent to the est 90 days)	e past 30 days)						
	- Jearen (earrent to the pr					Data			·
Signature of applicant						Date			
Remarks (For office use	only)								



# DRIVER APPLICANT DRUG AND ALCOHOL PRE-EMPLOYMENT STATEMENT

CFR Part 40.25(j) requires the employer to ask any applicant, whether he or she has tested positive, or refused to test, on any pre-employment drug or alcohol test administered by an employer to which the employee applied for, but did not obtain, safety-sensitive transportation work covered by DOT agency drug and alcohol rules during the past two years. If the potential employee admits that he or she had a positive test or refusal to test, we must not use the employee to perform safety-sensitive function, until and unless, the potential employee provides documentation of successful completion of the return-to-duty process.

(See Section 40.25(b)(5) and (e).

Applica	nt Name_	eID Number:N	IA
As an ap	plicant, ap	applying to perform safety sensitive functions for our company, you are nd to the following questions.	
1)	employer	ou tested positive, or refused to test, on any pre-employment drug or alcount to which you applied for, but did not obtain, safety-sensitive transport gency drug and alcohol testing rules during the past two years?	ohol test administered by an ation work covered by
	□Yes	□ No	
2)		inswered yes, to the above question, can you provide proof that you have turn-to-duty requirements?	successfully completed the
	□Yes	□ No	
My sigr	nature bel	elow certifies that the information provided is true and correct.	
Applica	nt's Signa	nature: Date:	

(Please Print)

# CONTROLLED SUBSTANCE & ALCOHOL TESTING INFORMATION ACKNOWLEDGEMENT/CONSENT FORM

As a condition of employment with <u>HEMO LOGISTICS INC.</u> (Motor Carrier), Commercial Motor Vehicle (CMV) Driver Applicants must submit to a pre-employment controlled substances test as required by the Federal Motor Carrier Safety Regulations (FMCSR) Section 382.301. A motor carrier must receive verified negative test results for the applicant driver for the applicant to be eligible for employment.

If you are hired, you will be subject to laws requiring additional controlled substances and alcohol testing on you under numerous situations including, but not limited to, the following:

Post-Accident - Section 382.303, Random- Section 382.305, Reasonable Suspicion - Section 382.307, Return to Duty - Section 382.309, Follow-up - Section 382.311

A driver, who tests positive to a controlled substance and/or alcohol test, will be immediately removed from a safety-sensitive position as required by Part 382 of the FMCSR. Federal law prohibits a Driver from returning to a safety-sensitive position for any motor carrier until and unless the Driver completes the Substance Abuse Professionals (SAP) evaluation, referral and educational/treatment process, as described in FMCSR Part 40, Subpart O.

The following is a referral list of Substance Abuse Professionals: (to be completed by Carrier)

Name	Address	Phone #
All controlled substan	nces and alcohol testing will be conducted in	accordance with Parts 40 and 382 of th
l	have read the above con	trolled substances and alcohol
	nd understand them. I acknowledge receipt of the	he referral list of Substance Abuse
	ind understand them. I acknowledge receipt of the	ite teletiai list of odostalice i lods
	nd understand them. I acknowledge receipt of the	ne reterrar list of outstance risase
	nd understand them. I acknowledge receipt of the	
Professionals.		Date:
Professionals.		
Professionals.  (Applicant's Signature	:)	
Professionals.  (Applicant's Signature	:)	Date:
Professionals.  (Applicant's Signature	:)	Date:
Professionals.  (Applicant's Signature	:)	Date:
Professionals.  (Applicant's Signature	:)	Date:
Professionals.  (Applicant's Signature	:)	Date:
(Applicant's Signature	:)	Date:



### DRIVER "INVESTIGATION HISTORY" FILE CHECKLIST

Driver's Name:

The Federal Motor Carrier Safety Regulations require motor carriers to maintain the Driver Investigation History File in a secure location with access to the files limited to those individuals with a need to know basis.

FO	RM OR PROCESS	COMPL	ETED	Initials of
		YES	NO	Person verifying
1.	Written notification of driver's due process rights signed by the driver.	-		
2.	Written consent form signed by the driver to obtain previous employment verifications, safety information, and alcohol & controlled substance history.			
3.	Past employment verifications. (At least the previous three-year period. Additional verifications are recommended.)			
4.	Documentation of good-faith efforts to obtain required information.			
5.	Verification from previous employers of violations of alcohol and/or controlled substance prohibitions within the previous three-year period.			
6.	Signed PSP Consent Form			
7.	Verification of the driver's failure to complete rehabilitation program, if required.			
8.	Verification follow-up testing was completed after rehabilitation, if required.			
9.	Verification of alcohol tests .04 or higher.			
10.	Verification of positive drug tests, if required.			
11.	Verification of refusals to be tested.			
12.	Records of requests and responses to prospective employers.			
13.	Reports to the Federal Motor Carrier Safety Administration pertaining to previous employers failure to respond to requests for information.			
14.	Copies of responses to drivers about requests to correct information.			

#### MANDATORY USE FOR ALL ACCOUNT HOLDERS

# IMPORTANT NOTICE REGARDING BACKGROUND REPORTS FROM THE PSP Online Service

1. In connection with your application for employment with HEMO LOGISTICS INC. ("Prospective Employer"), Prospective Employer, its employees, agents or contractors may obtain one or more reports regarding your driving, and safety inspection history from the Federal Motor Carrier Safety Administration (FMCSA).

When the application for employment is submitted in person, if the Prospective Employer uses any information it obtains from FMCSA in a decision to not hire you or to make any other adverse employment decision regarding you, the Prospective Employer will provide you with a copy of the report upon which its decision was based and a written summary of your rights under the Fair Credit Reporting Act before taking any final adverse action. If any final adverse action is taken against you based upon your driving history or safety report, the Prospective Employer will notify you that the action has been taken and that the action was based in part or in whole on this report.

When the application for employment is submitted by mail, telephone, computer, or other similar means, if the Prospective Employer uses any information it obtains from FMCSA in a decision to not hire you or to make any other adverse employment decision regarding you, the Prospective Employer must provide you within three business days of taking adverse action oral, written or electronic notification: that adverse action has been taken based in whole or in part on information obtained from FMCSA; the name, address, and the toll free telephone number of FMCSA; that the FMCSA did not make the decision to take the adverse action and is unable to provide you the specific reasons why the adverse action was taken; and that you may, upon providing proper identification, request a free copy of the report and may dispute with the FMCSA the accuracy or completeness of any information or report. If you request a copy of a driver record from the Prospective Employer who procured the report, then, within 3 business days of receiving your request, together with proper identification, the Prospective Employer must send or provide to you a copy of your report and a summary of your rights under the Fair Credit Reporting Act.

The Prospective Employer cannot obtain background reports from FMCSA unless you consent in writing.

If you agree that the Prospective Employer may obtain such background reports, please read the following and sign below:



- 2. I authorize <u>HEMO LOGISTICS</u> ("Prospective Employer") to access the FMCSA Pre-Employment Screening Program (PSP) system to seek information regarding my commercial driving safety record and information regarding my safety inspection history. I understand that I am consenting to the release of safety performance information including crash data from the previous five (5) years and inspection history from the previous three (3) years. I understand and acknowledge that this release of information may assist the Prospective Employer to make a determination regarding my suitability as an employee.
- 3. I further understand that neither the Prospective Employer nor the FMCSA contractor supplying the crash and safety information has the capability to correct any safety data that appears to be incorrect. I understand I may challenge the accuracy of the data by submitting a request to https://dataqs.fmcsa.dot.gov. If I am challenging crash or inspection information reported by a State. FMCSA cannot change or correct this data. I understand my request will be forwarded by the DataQs system to the appropriate State for adjudication.
- 4. Please note: Any crash or inspection in which you were involved will display on your PSP report. Since the PSP report does not report, or assign, or imply fault, it will include all Commercial Motor Vehicle (CMV) crashes where you were a driver or co-driver and where those crashes were reported to FMCSA, regardless of fault. Similarly, all inspections, with or without violations, appear on the PSP report. State citations associated with FMCSR violations that have been adjudicated by a court of law will also appear, and remain, on a PSP report.

I have read the above Notice Regarding Background Reports provided to me by Prospective Employer and I understand that if I sign this consent form, Prospective Employer may obtain a report of my crash and inspection history. I hereby authorize Prospective Employer and its employees, authorized agents, and/or affiliates to obtain the information authorized above.

Date:		 	
Signature:		 	
Name (Plea	ase Print):	 	

NOTICE: This form is made available to monthly account holders by NICT on behalf of the U.S. Department of Transportation, Federal Motor Carrier Safety Administration (FMCSA). Account holders are required by federal law to obtain an Applicant's written or electronic consent prior to accessing the Applicant's PSP report. Further, account holders are required by FMCSA to use the language provided in paragraphs 1-4 of this document to obtain an Applicant's consent. The language must be used in whole, exactly as provided. The language may be included with other consent forms or language at the discretion of the account holder, provided the four paragraphs remain intact and the language is unchanged.

### FOURTEEN-DAY PRIOR LOG FORM

(Data sheet for new, casual, or temporary drivers)

NAME: _				ID	ID #:			
ADDRES	S:			PH_PH	PHONE #:			
DRIVER'	S LICE	NSE #:		Pro	ovince:			
regulation from you o time at wh below, sho	is of the L a signed s nich you v ow the mu	Department statement ge vere last re	iving the total lieved from di urs worked (o	ation [Sect   time on d utv prior to	rion 395.8 (j uty during t o beginning	)(2)] requir he immedial work for the	e the motor  ely precedi    motor car	sionally, the carrier to obto ng 7 days and rier. In the spa ian drivers ple
Day	1	2	3	4	5	6	7	Total
Date On Duty Hours								
	8_	9	10	11	12	13	14	Total
Date On Duty Hours								
l hereby c was last r	ertify the elieved fi	at the inforn rom work a	nation given o	above is co	orrect to the	best of my k	nowledge a	nd belief, and
Signature	e:							



## **Previous Employment Reference Authorization Release Form**

HIRING COMPANY I	
HEMO LOGISTICS INC	Contact Person: Safety Department
2045 North Talbot Road	Phone: (519) 737-1111 Ext. 6
Windsor ON, N9A 6J3	Email: safety@hemologistics.ca
As a Commercial Motor Vehicle (CMV) Driver, I und Safety Regulations (FMCSRs) Part 391.21. the followage previous Employers for which I operated a CMV, substituting the past three years, from dates show acknowledge that this information will be used in the right to review this information and reburdance employers, as described in the FMCSR Part 39 I  Company to release all records of employment, in ability and fitness (including dates of any and all a and/or my refusal to submit to any alcohol or dunder direction of (SAP/MRO) to each and every may request such information in connection with company. I hereby release this company, and its eany and all liability of any type as a result of properson and/or	wing information will be requested from all ubject to the FMCSR Parts 390 and/or 40, 382 own on my employment application. I also determining my eligibility to be hired, that I t any errors in these statements from my 91.23.  (print name), hereby authorize this including assessments of my job performance, Icohol and drug tests, those confirmed results frug tests and any rehabilitation completion of company (or their authorized agents) which the my application for employment with said employees, officers, directors, and agents from oviding information to the above-mentioned
Employee Signature	Date
Witness Signature	 Date